

Annex B**COMMISSION DELEGATED REGULATION (EU) 2016/2022 of 14 July 2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council with regard to regulatory technical standards concerning the information for registration of third-country firms and the format of information to be provided to the clients**

~~(Text with EEA relevance)~~

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Article -1**Interpretation**

- (1) Where a term is defined in Directive 2014/65/EU that term shall apply for the purposes of this Regulation except where (2) applies.
- (2) Where a term is defined in article 2 of Regulation 600/2014/EU, as amended by the Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018, that definition shall apply for the purposes of this Regulation.

Article 1**Information necessary for the registration**

A third-country firm applying for the provision of investment services or performance of activities ~~throughout the Union~~ in the United Kingdom in accordance with the second subparagraph of Article 46(4) of Regulation (EU) No 600/2014 shall submit the following information to ~~ESMA~~ the Financial Conduct Authority:

- (a) full name of the firm, including its legal name and any other trading name to be used by the firm;
- (b) contact details of the firm, including the head office address, telephone number and email address;
- (c) contact details of the person in charge of the application, including telephone number and email address;
- (d) website, where available;
- (e) national identification number of the firm, where available;

- (f) legal entity identifier (LEI) of the firm, where available;
- (g) Business Identifier Code (BIC) of the firm, where available;
- (h) name and address of the competent authority of the third country that is responsible for the supervision of the firm; where more than one authority is responsible for supervision, the details of the respective areas of competence shall be provided;
- (i) the link to the register of each competent authority of the third country, where available;
- (j) information on which investment services, activities, and ancillary services it is authorised to provide in the country where the firm is established;
- (k) the investment services to be provided and activities to be performed in the ~~Union~~ United Kingdom, together with any ancillary services.

Article 2

Information submission requirements

1. The third-country firm shall inform ~~ESMA~~ the Financial Conduct Authority, within 30 days, of any change of the information provided under Article 1(a) to (g), (j) and (k).
2. Information provided to ~~ESMA~~ the Financial Conduct Authority under Article 1(j) shall be provided through a written declaration issued by a competent authority of the third country.
3. The information provided to ~~ESMA~~ the Financial Conduct Authority under Article 1 shall be in English, using the Latin alphabet. Any accompanying documents provided to ~~ESMA~~ the Financial Conduct Authority under Article 1 and in paragraph 2 of this Article shall be in English or, where they have been written in a different language, a certified English translation shall also be provided.

Article 3

Information concerning type of clients in the ~~Union~~ United Kingdom

1. A third-country firm shall provide the information referred to in Article 46(5) of Regulation (EU) No 600/2014 to the clients in a durable medium.
2. The information referred to in Article 46(5) of Regulation (EU) No 600/2014, shall be:
 - (a) provided in English ~~or in the official language, or one of the official languages, of the Member State where the services are to be provided;~~

- (b) presented and laid out in a way that is easy to read, using characters of readable size;
- (c) without using colours that may diminish the comprehensibility of the information.

Article 4

Entry into force and application

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~~This Regulation shall be binding in its entirety and directly applicable in all Member States.~~

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