

Annex Q

COMMISSION IMPLEMENTING REGULATION (EU) 2017/1093 of 20 June 2017 laying down implementing technical standards with regard to the format of position reports by investment firms and market operators

~~(Text with EEA relevance)~~

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Article -3

Definitions

In this Regulation, ‘Exit Day’ has the meaning given in the European Union (Withdrawal) Act 2018.

Article -2

Application

This Regulation applies to:

- (1) Operators of UK trading venues as defined by article 2(1)(16A) of Regulation 600/2014/EU; and
- (2) MiFID investment firms, as defined in accordance with the Glossary to the Handbook of Rules and Guidance published by the Financial Conduct Authority immediately after Exit Day.

Article -1

Interpretation

1. Where a term is defined in Directive 2014/65/EU that definition shall apply for the purposes of this Regulation except where (2) applies.
2. Where a term is defined in article 2 of Regulation 600/2014/EU, as amended by the Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018, that definition shall apply for the purposes of this Regulation.
3. Article 2(1)(62) of Regulation 600/2014/EU shall apply for the purposes of this Regulation.

Article 1

Weekly reports

1. Investment firms or market operators operating a trading venue shall prepare the weekly report referred to in ~~Article 58(1)(a) of Directive 2014/65/EU~~ chapter 10 of the Market Conduct sourcebook published by the Financial Conduct Authority immediately after Exit Day, separately for each commodity derivative, emission allowance or derivative thereof that is traded on that trading venue, in accordance with the format set out in the tables of Annex I to this Regulation.
2. The reports referred to in paragraph 1 shall contain the aggregate of all positions held by the different persons in each of the categories set out in Table 1 to Annex I in an individual commodity derivative, emission allowance or derivative thereof that is traded on that trading venue.

Article 2

Daily reports

1. Investment firms shall provide the competent authority with the breakdown of their positions as referred to in ~~Article 58(2) of Directive 2014/65/EU~~ the direction in chapter 10.4.8 of the Market Conduct sourcebook published by the Financial Conduct Authority immediately after Exit Day by means of a daily position report in the format set out in the tables to Annex II to this Regulation.
2. The report referred to in paragraph 1 shall contain all positions across all maturities of all contracts.

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~~This Regulation shall be binding in its entirety and directly applicable in all Member States.~~

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