

- a. Article 1, except paragraph k) of Article 1(2);
- b. Article 2;
- c. Article 5;
- d. Article 7, except paragraph d of Article 7(2);
- e. Article 8(b);
- f. Article 9(1) and 9(d);
- g. Article 11;
- h. Article 12(2);
- i. Article 13;
- j. Article 14 (2);
- k. Article 15;
- l. Article 16, except paragraph c);
- m. Article 17;
- n. Article 18;
- o. Article 19;
- p. Article 20;
- q. Article 21;
- r. Article 22;
- s. Article 23;
- t. Article 23a;
- u. Article 23b;
- v. Article 23c and
- w. Article 25.”

Article 2

Entry into force and application

This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

10.7 Annex VII - ITS on registration and extension of registration of TRs under EMIR

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,



Having regard to Regulation (EU) No 648/2012 of the European Parliament and of the Council of 16 July 2012 and in particular Article 56(4) thereof,

Whereas:

(1) A uniform format for applications to the European Securities and Markets Authority (ESMA) for registration and extension of registration of trade repositories should ensure that all information required pursuant to Commission Delegated Regulation (EU) 150/2013, as amended by Commission Delegated Regulation 2019/362 is submitted to, and easily identified by, ESMA.

(2) In order to facilitate the identification of the information submitted by the trade repository, every document contained in the application should bear a unique reference number.

(3) In accordance with Article 1(3) of Delegated Regulation (EU) 150/2013, as amended by Commission Delegated Regulation 2019/362, where an applicant trade repository considers that a requirement of Delegated Regulation (EU) 150/2013 is not applicable to it, it must clearly indicate that requirement in its application and provide an explanation why such requirement does not apply. Those requirements and explanations should be clearly identified in the application for registration or extension of registration.

(4) Any information submitted to ESMA in an application for registration or extension of registration of a trade repository should be provided in a durable medium as defined in Directive 2009/65/EC of the European Parliament and of the Council to enable its storage for future use and reproduction.

Article 1

Amendments to Delegated Regulation (EU) No 150/2013

(1) Article 1 is replaced as follows

Article 1

Format of the application for registration and extension of registration

1. An application for registration or extension of registration shall be submitted in the format set out in the Annex.

2. The trade repository shall give a unique reference number to each document it submits and shall clearly identify which specific requirement in Delegated Regulation (EU) 150/2013 as amended by Delegated Regulation 2019/362 the document refers to.

3. An application for registration or extension of registration shall clearly indicate the reasons why information referring to a certain requirement is not submitted.

4. An application for registration or extension of registration shall be submitted in a durable medium as defined in Article 2(1)(m) of Directive 2009/65/EC.



Article 2

Entry into force and application

This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Annex

ANNEX	
FORMAT FOR AN APPLICATION FOR REGISTRATION OR EXTENSION OF REGISTRATION AS A TRADE REPOSITORY	
GENERAL INFORMATION	
Date of application	
Corporate name of trade repository	
Legal address	
The classes of derivatives for which the trade repository is applying to be registered	
Name of the person assuming the responsibility of the application	
Contact details of the person assuming the responsibility of the application	
Name of other person responsible for the trade repository compliance	
Contact details of the person(s) responsible for the trade repository compliance	
Identification of any parent company	

DOCUMENT REFERENCES			
Article of Commission Delegated Regulation 150/2013	Unique reference number of document	Title of the document	Chapter or section or page of the document where the information is provided or reason why the information is not provided