

Annex B

Changing references to “exit day” into references to “IP completion day”: Handbook, Handbook Guides and Regulatory Guides

This Annex replaces “exit day” and “exit date” with “IP completion day” in the amendments to the provisions in column 2 to be made by the instruments in column 1.

Column 1		Column 2			
<i>(a) Instrument</i>	<i>(b) Annex</i>	<i>(a) Sourcebook or Manual</i>	<i>(b) Provision or, in the Glossary, definition</i>		
Exiting the European Union: Temporary Permission and Financial Services Contracts Instrument 2019 (FCA 2019/36)	B	GEN	2.2.26R(1) and (2)		
			2.2.27R(1), (2), (3) and (4)		
			2.2.28R(1) and (3)		
			2.2.29R(1)		
			2.2.31G(2), (3) and (5)		
			2.2.32R(1) and (2)		
			2.2.33R(1), (2) and (3)		
			2.2.34R(1)		
			2.2.36G(2), (4), (7) and (8)		
			2.2.37G(3), (4), (5), (6), (8), (9), (10) and (11)		
			2.2.39G(1) and (4)		
			TP 6 Paragraph 3(2) and (4)		
			D	CASS	14.1.5G(2)
					The heading to 14.3.6R
14.3.7G(2)					
14.5.1R(2)					

			14.5.3G(2)
			14.5.6R(1)
	E	COMP	TP 1.1 paragraph 47
Credit Rating Agencies (Guidance) Instrument 2019 (FCA 2019/31)	B	EG	19.39
Exiting the European Union: Redress Sourcebooks (Amendments) Instrument 2019 (FCA 2019/28)	B	COMP	6.2.3G
			10.2.5G
			12.4.4R
			12.4.4R
			TP 1.1 rows 42 to 46
Trade Repositories (Guidance) Instrument 2019 (FCA 2019/32)	B	EG	19.40.1
Exiting the European Union: Miscellaneous (Amendments) Instrument 2019 (FCA 2019/29)	B	BENCH	1.1.3G
Exiting the European Union: Gibraltar (General Rules) Instrument 2019 (FCA 2019/34)	Annex	GEN	2.3.1R(1) and (2)
			2.3.2R(1) and (2)
			2.3.3G(1), (3), (6), (7) and (8)
			2.3.4G(2)
Exiting the European Union: Listing, Prospectus and Disclosure Sourcebooks (Amendments) Instrument 2019 (FCA 2019/26)	C	DTR	TP 1 Rows 4, 31, 32, 33, 34 and 35

Exiting the European Union: Regulatory Guides (Amendments) Instrument 2019 (FCA 2019/27)	B	PERG	2.5.4AG
			2.5.6G
			2.6.27G(2)
			4.4.1G(2)
			4.4.5G(1) and (2)
			4.10A.1G
			4.11.3G(1) and (2)
			4.11.9G
			Note to 4.11.9G
			5.11.7G
			8.2.9G
			8.21.20G
			13.1G
			13.2G
	F	M2G	1.1.1G
		1.1.3G	
		1.1A.1G	
		1.2.2G	
		1.5.3G	
Exiting the European Union: Prudential Sourcebooks (Amendments) Instrument 2019 (FCA 2019/22)	C	IFPRU	11.6.3R(3)
Exiting the European Union: Glossary (Amendments)	Annex	Glossary	EEA PTV firm
			alternative debenture

Instrument 2019 (FCA 2019/19)			article 3(1)(b) credit agreement buy-to-let credit agreement contract for differences designated investment future government and public security Home State market making activities option participant firm pre-exit incoming EEA firm ¹ qualified investor regulated mortgage contract security specified investment total return swap UCITS marketing notification
Exiting the European Union: Business Standards Sourcebooks (Amendments)	A	COBS	3.7.3BUK 11 Annex 1UK 11A.1.6UK 11A.1.7UK

¹ [Note: this term is separately amended by Annex D to become “pre-IP completion day incoming EEA firm”. The effect of the inclusion of “pre-exit incoming EEA firm” in this Annex B is to change the references to exit day in the definition itself into references to IP completion day.]

Instrument 2019 (FCA 2019/23)			14.3A.5 UK
	G	MAR	1.1.6G(3)
Exiting the European Union: Regulatory Processes Sourcebooks (Amendments) Instrument 2019 (FCA 2019/24)	C	SUP	TP 10 10.2.1G
			TP 12 12.1
			TP 12 12.2
			TP 12 12.3
Exiting the European Union: Specialist Sourcebooks (Amendments) Instrument 2019 (FCA 2019/25)	A	COLL	4.5.14 R(2)
			4.8.3R(2)
			6.6B.30R
			7.6.2R(2)
			7.7.2 G(1)
			11.3.5AR(1) and (2)
			11.4.2AR(1) and (2)
			11.5.2AR(1) and (2)
			Appendix 1UK Article 1A (Definitions)
	D	FUND	4.2.4G(3)
			4.2.5UK1B(c)
	G	REC	2.5.1 Paragraph 3C
			2.12.2AAUK(6)
2.16A.1UK(2)			
2.16A.1UK Paragraph 9E(1)			
Periodic Fees (2019/2020) and Other Fees (EU Exit)	Annex	FEES	13A Annex 1R
			TP 22A
			TP 22A.1

Instrument 2019 (FCA 2019/77)			TP 22A.2
Exiting the European Union: High Level Standards (Amendments) Instrument 2019 (FCA 2019/20)	A	PRIN	3.1.6R
	B	SYSC	1 Annex 1
	F	GEN	4.3.7R
			4.3.9R
			TP 5
TP 5 Table 2 Rows 1, 2, 3, 4, 5, 6, 7, 8, 9, 11, 12, 13, 14 15 and 16			