

**PRA RULEBOOK: CRR FIRMS, NON CRR FIRMS: REGULATORY REPORTING AMENDMENT
INSTRUMENT 2021**

Powers exercised

- A. The Prudential Regulation Authority (“PRA”) makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 137G (The PRA’s general rules); and
 - (2) section 137T (General supplementary powers).
- B. The rule-making powers referred to above are specified for the purpose of section 138G (2) (Rule-making instrument) of the Act.

Pre-conditions to making

- C. In accordance with section 138J of the Act (Consultation by the PRA), the PRA consulted the Financial Conduct Authority. After consulting, the PRA published a draft of proposed rules and had regard to representations made.

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- D. The PRA makes the rules in the Annexes to this instrument.

Part	Annex
Amendments to the Regulatory Reporting Part	A
Further amendments to the Regulatory Reporting Part	B

Commencement

- E. Annex A to this instrument comes into force on 10 November 2021.
F. Annex B to this instrument comes into force on 31 May 2022.

Citation

- G. This instrument may be cited as the PRA Rulebook: CRR Firms, Non CRR Firms: Regulatory Reporting Amendment Instrument 2021.

By order of the Prudential Regulation Committee

2 November 2021

Annex A

Amendments to the Regulatory Reporting Part

In this Annex new text is underlined and deleted text is struck through.

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10 REGULATED ACTIVITY GROUP 4

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10.2 The applicable *data items* referred to in the table in 6.1 are set out in the table below:

RAG 4	
Description of <i>data item</i>	Applicable <i>data items</i> [1]
...	
UCITS (2) [deleted.]	FSA042 [deleted.]

...

(2) ~~Only applicable to firms that have permission for establishing, operating or winding up a regulated collective investment scheme.~~[deleted]

10.3 The applicable reporting frequencies for submission of *data items* referred to in 10.2 are set out in the table below. Reporting frequencies are calculated from a *firm's accounting reference date*, unless indicated otherwise.

RAG 4	
<i>Data item</i>	Reporting frequency
...	
FSA042 [deleted.]	Quarterly [deleted.]

10.4 The applicable due dates for submission referred to in the table in 6.1 are set out in the table below. The due dates are the last day of the periods given in the table below following the relevant reporting frequency period set out in 10.3, unless indicated otherwise.

RAG 4						
<i>Data item</i>	Daily	Weekly	Monthly	Quarterly	Half yearly	Annually
...						

FSA042 [deleted.]	-	-	-	20 <i>business</i> <i>days</i> [deleted.]	-	-
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16 DATA ITEMS AND OTHER FORMS

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16.13 FSA042 can be found ~~here.~~ [deleted.]

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Annex B

Further amendments to the Regulatory Reporting Part

In this Annex new text is underlined and deleted text is struck through.

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22 BRANCH REPORTING

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22.3 The Branch Return Form can be found ~~here~~here.

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