

ANNEX VII

ANNEX VIII

Template for KPIs of investment firms

| Template number | Name |
|------------------------|---|
| 0 | Summary of KPIs to be disclosed by investment firms under Article 8 Taxonomy Regulation |
| 1 | KPI IF – Dealing on own account services |
| 2 | KPI IF – Other services |

0. Summary of KPIs to be disclosed by investment firms under Article 8 Taxonomy Regulation

| | | Total environmentally sustainable assets | KPI (***) | KPI (****) | % coverage (over total assets) (**) |
|---|---------------------------|--|-----------|------------|-------------------------------------|
| Main KPI (for dealing on own account) | Green asset ratio | | | | |
| | | Total revenue from environmentally sustainable services and activities | KPI | KPI | % coverage (over total revenue) |
| Main KPI (for services and activities other than dealing on own account) | KPI on Revenue (*) | | | | |

(*) Fees, commissions and other monetary benefits.

(**) % of assets covered by the KPI over total assets.

(***) Based on the Turnover KPI of the counterparty.

(****) Based on the CapEx KPI of the counterparty.

1. KPI IF – Dealing on own account services

| | a | b | c | d | e | f | g | h | j | k | l | m | n | o | p | q | r | s | t | u | v | w | x | y | z |
|---|---|---|---|-----------------------|---|-----------------------|---|-----------------------|---|-----------------------|---|-----------------------|---|-----------------------|---|-----------------------|---|-----------------------|---------------------------|-----------------------|---------------------------|-----------------------|---------------------------|-----------------------|---------------------------|
| | Total (Million EUR) | Of which covered by the KPI (Million EUR) | Climate Change Mitigation (CCM) | | Climate Change Adaptation (CCA) | | Water and marine resources (WTR) | | Circular economy (CE) | | Pollution (PPC) | | Biodiversity and Ecosystems (BIO) | | TOTAL (CCM + CCA + WTR + CE + PPC + BIO) | | | | | | | | | | |
| | | | Of which assets covered by the EU Taxonomy (%) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (%) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (%) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (%) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (%) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (%) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (%) (Taxonomy-eligible) | | | | | | | | | | |
| | | | Of which linked to activities aligned with the EU Taxonomy (%) (Taxonomy-aligned) | | Of which linked to activities aligned with the EU Taxonomy (%) (Taxonomy-aligned) | | Of which linked to activities aligned with the EU Taxonomy (%) (Taxonomy-aligned) | | Of which linked to activities aligned with the EU Taxonomy (%) (Taxonomy-aligned) | | Of which linked to activities aligned with the EU Taxonomy (%) (Taxonomy-aligned) | | Of which linked to activities aligned with the EU Taxonomy (%) (Taxonomy-aligned) | | Of which linked to activities aligned with the EU Taxonomy (%) (Taxonomy-aligned) | | Of which linked to activities aligned with the EU Taxonomy (%) (Taxonomy-aligned) | | | | | | | | |
| | | | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) |
| 1 | Total assets invested under investment firms' activities dealing on own account (as per Section A of Annex I to Directive 2014/65/EU) | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | Of which: on own behalf | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | Of which: on behalf of clients | | | | | | | | | | | | | | | | | | | | | | | | |

2. KPI IF – Other services'

| | a | b | c | d | e | f | g | h | i | j | k | l | m | n | o | p | q | r | s | t | u | v | w | x | y | z | |
|--|---|--|-----------------------|--|-----------------------|---|-----------------------|---|-----------------------|---|-----------------------|---|-----------------------|--|---------------------------|--|---------------------------|-----------------------|---|---|---|---|---|---|---|---|--|
| Total (Million EUR) | Of which covered by the SFU (Million EUR) | Climate Change Mitigation (CCM) | | Climate Change Adaptation (CCA) | | Water and marine resources (WTR) | | Circular economy (CE) | | Pollution (PPC) | | Biodiversity and Ecosystems (BIO) | | TOTAL (CCM + CCA + WTR + CE + PPC + BIO) | | | | | | | | | | | | | |
| | | Of which Revenue (fees, commissions and other monetary benefits) from services and activities towards sectors covered by the EU Taxonomy (N) (Taxonomy-eligible) | | Of which Revenue (fees, commissions and other monetary benefits) from services and activities towards sectors covered by the EU Taxonomy (N) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (N) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (N) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (N) (Taxonomy-eligible) | | Of which assets covered by the EU Taxonomy (N) (Taxonomy-eligible) | | Of which Revenue (fees, commissions and other monetary benefits) from services and activities towards sectors covered by the EU Taxonomy (N) (Taxonomy-eligible) | | Of which Revenue (fees, commissions and other monetary benefits) from services and activities towards sectors covered by the EU Taxonomy (N) (Taxonomy-eligible) | | | | | | | | | | | |
| | | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | Of which linked to activities aligned with the EU Taxonomy (N) (Taxonomy-aligned) | Of which enabling (%) | Of which linked to activities aligned with the EU Taxonomy (N) (Taxonomy-aligned) | Of which enabling (%) | Of which linked to activities aligned with the EU Taxonomy (N) (Taxonomy-aligned) | Of which enabling (%) | Of which linked to activities aligned with the EU Taxonomy (N) (Taxonomy-aligned) | Of which enabling (%) | Of which linked to activities aligned with the EU Taxonomy (N) (Taxonomy-aligned) | Of which transitional (%) | Of which enabling (%) | Of which transitional (%) | Of which enabling (%) | | | | | | | | | |
| 1 Revenue (i.e. fees, commissions and other monetary benefits) from investment and services and activities other than dealing on own account (as per Section A of Annex 1 to Directive 2014/65/EU) | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 Reception and transmission of orders in relation to one or more financial instruments | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 Execution of orders on behalf of clients | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 Portfolio management | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 Investment advice | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 Underwriting of financial instruments and/or placing of financial instruments on a firm commitment basis | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 Placing of financial instruments without a firm commitment basis | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 Operation of an MTF | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 Operation of an OTF | | | | | | | | | | | | | | | | | | | | | | | | | | | |