

Annex F

Amendments to the Enforcement Guide (EG)

In this Annex, underlining indicates new text and striking through indicates deleted text.

7 Financial penalties and other disciplinary sanctions

...

7.1 The FCA's use of sanctions

...

7.1.2 The *FCA* has the following powers to impose sanctions.

(1) ...

...

(3) It may impose a suspension, *limitation* or other restriction:

...

(c) on a primary information provider under section 89Q of the *Act*;
~~and~~

(d) on an *authorised person* under sections 123B or 206A of the *Act*;
and

(e) on a non-authorised parent undertaking under section 143W of the *Act*.

...

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