



EBA/GL/2014/13 Appendix 1

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19 December 2014 – Updated 29<sup>th</sup>  
February 2016 (Updated – 5 July 2018)

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GL/2014/13 + Appendix 1

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## Compliance Table - Guidelines

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Based on information supplied by them, the following competent authorities comply or intend to comply with: EBA Guidelines – EBA/GL/2014/13 on Guidelines on Common procedures and methodologies for the supervisory review and evaluation process (SREP) – published on 19<sup>th</sup> December 2014.

Member State		Competent authority	Complies or intends to comply	Comments
BE	Belgium	National Bank of Belgium	Yes	
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	Yes	
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	Yes	
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority)	Yes	
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory Authority)	Yes	
EE	Estonia	Finantsinspektsioon (Financial Supervision Authority)	Yes	
IE	Ireland	Central Bank of Ireland	Yes	
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	Yes	
HR	Croatia	Hrvatska narodna	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
		banka (Croatian National Bank)		
ES	Spain	Banco de España (Bank of Spain)	Yes	
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	Yes	
IT	Italy	Banca d'Italia (Bank of Italy)	Yes	
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	Yes	
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	Yes	
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	Yes	
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
		Financial Sector)		
HU	Hungary	Magyar Nemzeti Bank (Central Bank of Hungary)	Yes	
MT	Malta	Malta Financial Services Authority	Yes	Updated: 29.02.2016
NL	Netherlands	De Nederlandsche Bank (National Bank of Netherlands)	Yes	
AT	Austria	Finanzmarktaufsicht (Financial Market Authority)	Yes	
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	Yes	
PT	Portugal	Banco de Portugal (Bank of Portugal)	Yes	
RO	Romania	Banca Națională a României (National Bank of Romania)	Yes	
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia)	Yes	
FI	Finland	Finanssivalvonta (Finnish Financial Supervisory Authority)	Yes	
SE	Sweden	Finansinspektionen (Swedish Financial Supervisory Authority)	Yes	
UK	United Kingdom	Prudential Regulation Authority (Bank of England)	Yes	
		Financial Conduct Authority (FCA)	Yes	
		Financial Services Commission (Gibraltar)	Yes	
<b>EEA-EFTA State</b>				
IS	Iceland	Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	Yes	FME intends to comply with the guidelines. CRD IV/CRR has not yet been incorporated into the EEA Agreement. Nonetheless, implementation of CRDIV/CRR is scheduled in 2015, in line with the scheduled implementation in the other EEA EFTA States. Furthermore, Regulation (EU) No 1093/2010 has also not yet been incorporated into the EEA Agreement, due to constitutional requirements in certain EEA EFTA States. To resolve the situation the EEA EFTA States remain engaged in a dialogue with the EU on how their participation in the European System of Financial

Member State		Competent authority	Complies or intends to comply	Comments
				Supervision will be secured.
LI	Liechtenstein	Finanzmarktaufsicht - FMA (Financial Market Authority)	Yes	<p>The Financial Market Authority Liechtenstein (FMA) as the competent authority in charge of the national implementation of financial market related regulations acknowledges the publication of these Guidelines (EBA/GL/2014/13).</p> <p>Currently, Liechtenstein is only admitted to the Board of Supervisors as observer and is not vested with any voting rights under the EBA Regulation No. 1093/2010. Nevertheless, the FMA will comply with these Guidelines (EBA/GL/2014/13) considering transitional arrangements of the CRD IV/CRR legislation in Liechtenstein.</p>
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	Yes	
<b>EU Institutions - Agencies</b>				
ECB	ECB	ECB	Yes	

## Notes

Article 16(3) of the EBA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.



The EBA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website ([www.eba.europa.eu](http://www.eba.europa.eu)).

